

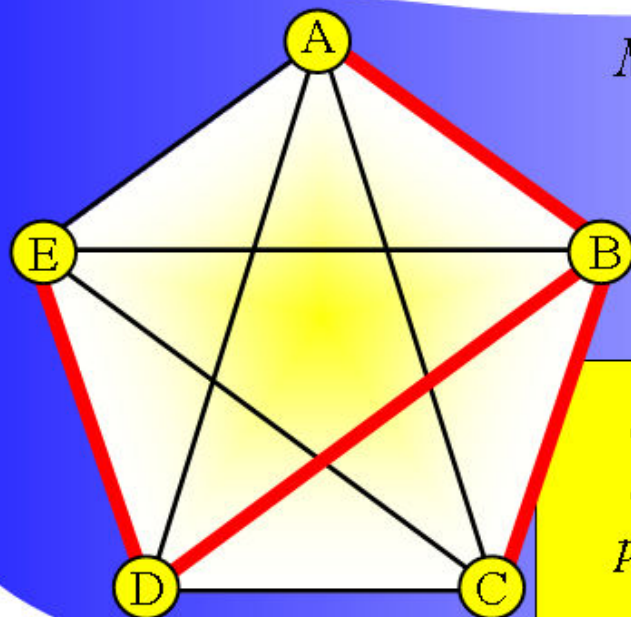


# THEOREM OF THE DAY

**The Girard–Newton Identities** For a fixed set  $S$  of variables, denote by  $e_k$ ,  $0 \leq k \leq |S|$ , the  $k$ -th elementary symmetric polynomial in the variables of  $S$ ; that is  $e_k = \sum_{X \subset S, |X|=k} \prod_{x \in X} x$ , with  $e_0 = 1$ . Denote by  $p_k$  the  $k$ -th power sum over  $S$ ; that is  $p_k = \sum_{x \in S} x^k$ . Then the following recurrence holds:

$$ke_k = \sum_{i=1}^k (-1)^{i-1} p_i e_{k-i}, \text{ for } k \geq 1.$$

“...one can appreciate the view held by some people, that if it isn’t related to symmetric polynomials, then it isn’t combinatorics!”



$M$ : B C D E

	B	C	D	E
B	4	-1	-1	-1
C	-1	4	-1	-1
D	-1	-1	4	-1
E	-1	-1	-1	4

$$c(t) = (t-1)(t-5)^3$$

$$e_4 = \text{constant term} = 125$$

$$p_1 = 16, p_2 = 76, p_3 = 376,$$

$$p_4 = 1876$$

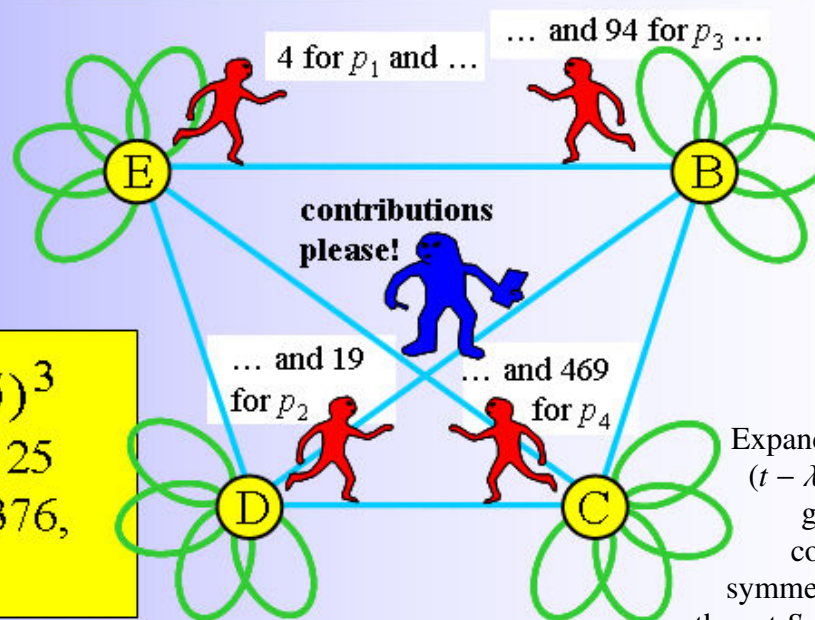


Photo: Adrian Bondy

Expanding, to take an example,  $(t - \lambda_1)(t - \lambda_2)(t - \lambda_3)(t - \lambda_4)$ , gives a polynomial whose coefficients are elementary symmetric polynomials  $e_k$ , with the set  $S$  of variables being the set

of roots  $\lambda_i$  of the polynomial. These in turn can be written, via the Girard–Newton Identities and back substitution, in terms of power sums of the roots; e.g. the constant term is  $\lambda_1 \lambda_2 \lambda_3 \lambda_4 = e_4 = (p_1^4 - 6p_1^2 p_2 + 3p_2^2 + 8p_1 p_3 - 6p_4)/24$ . **AN APPLICATION:** vertex  $A$  in the network on the left wishes to discover the number of spanning trees (connected, cycle-free, containing all vertices; e.g. the bold red edges, above left) in the network, *without revealing to anybody their interest in this information*. Via the **Matrix Tree Theorem**, this number is obtained from the matrix  $M$ , centre, top, which records the edges between  $B, C, D$  and  $E$  (weighted negatively) and their vertex degrees (on the diagonal). In fact, we just calculate the constant term,  $e_4$ , of the *characteristic polynomial*  $c(t)$  of the matrix. Of course  $A$  cannot ask for this information—it would give the game away. But the value of  $p_k$  in this case is precisely the sum of the diagonal elements of  $M^k$ , which can be obtained thus: the contribution of, say,  $B$  is the number of ways  $B$  can make a circular walk of  $k$  edges in the version of the network on the right, with an odd number of non-loop (negative) edges causing a walk to contribute negatively. So  $A$  collects these innocent-seeming, circular walk counts from each vertex, reconstructs the  $p_k$ 's and, hey presto, counts spanning trees.

These identities were discovered by Isaac Newton, perhaps around 1669, but had been published by Albert Girard in 1629.

**Web link:** [fermatlasttheorem.blogspot.com/2007/02/newtons-identities.html](http://fermatlasttheorem.blogspot.com/2007/02/newtons-identities.html). Some history: [mathtourist.blogspot.co.uk/2008/03/](http://mathtourist.blogspot.co.uk/2008/03/).

**Further reading:** *Combinatorics: Topics, Techniques, Algorithms*, by Peter J. Cameron, CUP, 1994; the quote above right appears at the end of Chapter 13, in connection with Macdonald’s *Symmetric Functions and Hall Polynomials*, OUP, 2nd edition, 1998.

